



- 2 The evolving microbiome during pregnancy, early infancy and its complications. A
- 3 comprehensible multidisciplinary review
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48 Abstract:

49 Pregnancy induces a number of immunological, hormonal and metabolic changes in the 50 physiology of women. Those changes are necessary for the mother to adapt her body to this 51 new physiological situation, for the labor onset, and for the fetus to have a normal growth. It has been described that the mother, the placenta and the fetus microbiome influences the 52 53 development of these processes, as well as the adequate development of the newborns. 54 Microbiome modulates inflammatory mechanisms related to physiological and pathological 55 processes that are involved in perinatal progress. Different metabolites derived from microbes and modulated by the diet, especially short chain fatty acids, might be involved in 56 57 these stages.

58 The present review tries to summarize the knowledge about changes in the microbiota of the 59 different tissues of the mother, the fetus, the newborn and childhood, as well as in some 60 specific physiological and pathological situations during the perinatal periods, as well as the 61 influence of the type of delivery and feeding. A number of factors influencing the 62 discrepancy of data are also revised.

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64 **Keywords:** Microbiome, pregnancy, fetus, placenta, newborn, infancy, sepsis, allergy.

65

66 Introduction

67 Pregnancy induces a number of immunological, hormonal and metabolic changes in the 68 physiology of women. Those changes are necessary for the mother to adapt her body to this 69 new physiologic situation and for the labor onset, and also for the fetus to have a normal 70 growth [Newbern et al., 2011]. Gut microbiome contributes to maternal metabolic 71 adaptations and supports the development of the fetus during the normal pregnancy [Koren et 72 al., 2012]. During the *in utero* period, the mother, her microbiota, and the baby coexist as one 73 interconnected metaorganism. However, there is a lack of consensus about the real nature of 74 microbiome changes during pregnancy, since discrepant not predictable findings have been 75 described [Koren et al., 2012; DiGiulio et al., 2015; Peelen et al., 2019]. These differences 76 could be explained by the heterogeneity in gestational age, genetics, ethnicity, and the diet of 77 the participants included in those studies. Indeed, it seems that maternal microbiota 78 composition during pregnancy is affected by the mother diet [Flint et al., 2012; 79 Garcia-Mantrana et al., 2018], and by pre-pregnancy weight and weight gain over the course 80 of pregnancy [Collado et al., 2008; Santacruz et al., 2010; Collado et al., 2010; 81 Gomez-Arango et al., 2016]. The amounts of anti-inflammatory butyrate-producer 82 commensal bacteria associated with the non-pregnant microbiota decreased with pregnancy 83 progress, whereas certain bacteria associated with proinflammatory responses, such as 84 Proteobacteria, increased in a majority of the women [Koren et al., 2012]. Similarly, vaginal 85 microbiota tends to reduce bacterial diversity during pregnancy, increasing vaginal 86 streptococci along with several specific lactobacilli strains, which are thought to prevent the 87 growth of pathogenic bacteria, to help human digestion, and to shape host innate and 88 adaptive immune system responses [Aagaard et al., 2012]. On the other hand, the classical 89 belief of the fetus as a sterile organism is no longer considered, since a characteristic 90 microbiome has been identified in the placenta, the amniotic fluid and the fetus in healthy 91 pregnancies [Aagaard et al., 2014; Prince et al., 2016].

92 Gut microbiota influences the immune function [Guinanne and Cotter, 2013], and thus 93 may modulate the response through different microbial-derived metabolites, especially 94 short-chain fatty acids (SCFAs) such as butyrate [Furusawa et al., 2015], acetate, or 95 propionate [Smith et al., 2013], which are key drivers of T-cell subset, proliferation and 96 activity. Gastrointestinal bacteria generate SCFAs from complex dietary carbohydrates 97 fermentation. These SCFAs not only down-regulates pro-inflammatory responses at the 98 specific site where the allergen is located, which typically precedes asthma in childhood 99 [Havastad et al., 2014], but also influences bone marrow stimulation by reprogramming the 100 immunological tone of the mammalian ecosystem [Lynch, 2016].

Finally, it is important to consider that the discrepancies of the data obtained until date could be influenced by the methodology of works. Given the large percentage of bacteria from meconium that are not culturable, new molecular methods are used to characterize the microbiota with their own limitations, as reagent and laboratory contamination. Therefore, new studies are needed to confirm the evolution of microbiota during pregnancy and its influence in healthy and complicated labors and newborn [Adak et al., 2018].

107 The present review tries to summarize, in an easy way to understand, the knowledge about 108 changes in the microbiota of the different tissues of the mother, the fetus, the newborn 109 associated to normal and altered development, as well as the influence of the type of feeding.

110 Changes in the microbiome during pregnancy

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During pregnancy, the female body undergoes hormonal, immunological, and metabolic changes to preserve the health of both the mother and the offspring. These changes alter the mother microbiota at different sites such as the vagina, the oral cavity and the gut, although published data are not consistent. A number of factors might influence the microbiota profile such as the diet, antibiotic or other supplement intakes, as well as the methodology of works.

117

118 *Gut microbiota*

119 The gut microbiota shifts substantially throughout the progression of the pregnancy. This 120 evolution is characterized by reduced individual richness (alpha-diversity), increased 121 between-subject beta-diversity, and by changes in the abundance of certain species [Koren et 122 al., 2012]. These changes are not related to diet, antibiotic treatments, gestational diabetes, or 123 pre-pregnancy body mass index, but are vital for a healthy pregnancy. Therefore, it suggests 124 that other factors, such as the state of the host immune and endocrine systems, may actively 125 contribute to the observed changes [Neuman and Koren, 2017]. During the first trimester, gut 126 microbiota pattern is similar in many aspects to that of healthy non-pregnant women, 127 showing a predominance of Firmicutes, mainly Clostridiales, over Bacteroidetes [Walters et 128 al., 2014]. Then, maternal gut microbiota declines in butyrate-producing bacteria (with 129 anti-inflammatory effects), while Bifidobacteria, Proteobacteria, and lactic acid-producing bacteria increase from the first to the third trimester, when the microbiota resembles a 130 131 unpredictably disease-associated dysbiosis that differs greatly among normal pregnancies 132 [Koren et al., 2012]. Indeed, changes in the gastrointestinal mucosa host immune system together with metabolic hormonal changes may trigger to the inflammation, weight gain and 133 134 dysbiosis occurring during normal pregnancies, and the microbiota changes may contribute 135 to this evolution. In addition, dysbiosis during the third trimester may affect host metabolism 136 in order to provide energy supply for the fetus [Koren et al., 2012]. On the other hand, it has been reported that some alterations may contribute to pregnancy metabolic complications [Gomez-Arango et al., 2016], and that the gut microbiota during pregnancy is a critical determinant of offspring health: evidences suggest that the maternal gut microbiota during pregnancy potentially determines the development of atopy and autoimmune phenotypes in offspring [Nyangahu and Jaspan, 2019]. However, to our best knowledge, no studies on the relationship among the immune system, the gut microbiota, and metabolism in pregnancy currently exist.

144 Vaginal microbiota

145 The composition of the vaginal microbiota is dynamic, corresponding with hormonal 146 fluctuations throughout the woman's reproductive life, and also during pregnancy. A number 147 of protective lactic acid-producing Lactobacillus species dominates the healthy vaginal 148 microbiota in most reproductive-age women. These bacteria protect against vaginal 149 dysbiosis and inhibit opportunistic infections through different mechanisms. In addition, the 150 degree of protection varies according to the predominant Lactobacillus specie [Smith and 151 Ravel, 2017]. Vaginal dysbiosis is comprised of a wide array of strict and facultative anaerobes that correlates with increased risk of infection, diseases, and poor reproductive and 152 153 obstetric outcomes [Kroon et al., 2018].

154 During normal pregnancy, the composition of the vaginal microbiota changes as a 155 function of gestational age, with an increase in the relative abundance for Lactobacillus spp, as L. crispatus, L. jensenii, L. gasserii, L. vaginalis, and a decrease in anaerobe or strict 156 157 anaerobe microbial species, as Atopobium, Prevotella, Sneathia, Gardenerella, 158 Ruminococcaceae, Parvimonas, Mobilincus [Romero et al., 2014]. Those authors reported 159 for the first time, that the composition and stability of the vaginal microbiota of normal 160 pregnant women is different from that of non-pregnant women. In fact, low risk pregnant 161 women have more stable vaginal flora throughout the pregnancy than non-pregnant women 162 do. Normal changes in the vaginal flora during pregnancy are transitions to another 163 Lactobacillus community, and this stability would protect against ascending infections 164 through the genital tract. In addition, they reported a different presence of some 165 Lactobacillus communities depending on the ethnicity of the women [Romero et al., 2014]. 166 In 2015, DiGulio et al. [3] confirmed those data and found a stronger association between an 167 altered vaginal microbiota and preterm birth that remains significant after adjusting by race, 168 and suggested that pregnancy outcomes might be predicted by features of the microbiota in 169 early gestation. Later, Stout et al. [2017] confirmed that vaginal community richness and 170 diversity remained stable during the first and second trimesters of gestation in pregnancies 171 ended at term, whereas in woman with preterm birth the richness and diversity decreased 172 early in pregnancy. These data suggest that the preterm birth-associated vaginal microbiome 173 may be less stable than that associated with termed birth, and that early pregnancy may be an

174 important ecological time for events that ordain subsequent term or preterm birth outcomes.

175 In fact, a meta-analysis has reported significant differences (in diversity measures) in vaginal

176 microbiomes at the first trimester, between women with term and preterm outcomes,

177 indicating the potential diagnostic utility of these measures [Haque et al., 2017]. In addition,

- 178 dysbiosis in the vaginal microbiota by pathogens is associated with complications of
- 179 pregnancy, in particular with an increased risk of preterm birth and spontaneous abortion
- 180 [Peelen et al., 2019].

181 Oral microbiota

182 An increase in the microbial load in the oral cavity during pregnancy has been described. 183 The presence of pathogenic bacteria Porphyromonas gingivalis and Aggregatibacter 184 actinomycotemcomitans in gingival sulcus were significantly higher during early and middle 185 stages of pregnancy compared to non-pregnant women [Fujiwara et al., 2017]. In addition, a recent work has concluded that the alpha-diversity index is higher in the third trimester 186 187 compared to non-pregnant women due to the increase of progesterone and oestradiol. 188 Therefore, it is hypothesized that pregnancy creates a nutrient oral environment that is more 189 favorable to some sensitive strains [Lin et al., 2018].

190 Placental microbiota

191 The placenta is an organ that has been considered sterile in the absence of clinical 192 infection. However, several findings using both culture and metagenomic techniques suggest 193 the presence of a low biomass microbial community in the healthy placenta [Nuriel-Ohayon 194 et al., 2016; Pelzer et al., 2017]. When characterizing the placental microbial community, the 195 presence of non-pathogenic commensal microbiota from the Firmicutes, Tenericutes, 196 Proteobacteria, Bacteroidetes, and Fusobacteria phyla has been demonstrated. Specifically, 197 the major phylum was Proteobacteria, including species such as Prevotella, Tannerae and 198 Neisseria. Curiously, these authors described that the placental microbiome profiles are most 199 akin to the human oral microbiome. In addition, an association of the placental microbiome 200 with a remote history of antenatal urinary infection, particularly during the first trimester has 201 also been reported [Aagaard et al., 2014]. The oral and intestinal transmission might be 202 explained by epithelium gaps which allow the transference of bacteria into the placenta by 203 hematogenous spread of those microorganism [Aagaard et al., 2014]. Some of those oral 204 microbes, such as Fusobacterium nucleatum, may be transmitted hematogenous during 205 placentation as a result of their ability to bind vascular endothelium, and modify its 206 permeability. Therefore, these bacteria function as an "enabler" for other common 207 commensals, such as *Escherichia coli* [Fardini et al., 2011]. Additionally to this classical 208 hypothesis, a new theory has been proposed related to the role of the endometrial microbiome 209 prior to conception. Franasiak et al. [2016] observed that Flavobacterium and Lactobacillus

210 represent the majority of endometrial bacterium at the time of embryotransfer. This fact 211 should support the new paradigm of the endometrial environment, although its implication 212 remains unclear.

213 The abundance of Lactobacillus sp, Propionibacterium spp. and members of the 214 Enterobacteriaceae family have been proved by DNA-based studies in placental tissue of pregnant at term [Prince et al., 2016]. In addition, other authors have confirmed a distinct 215 216 microbiota in the placenta and amniotic fluid of healthy woman at the time of elective 217 caesarean, characterized by low richness, low diversity and the predominance of 218 Proteobacteria [Collado et al., 2016]. These authors propose that the stepwise microbial gut 219 colonization process may be initiated already prenatally by a distinct microbiota in the 220 placenta and amniotic fluid. Similarly, several studies have found microbes in amniotic fluid 221 and umbilical cord blood in healthy asymptomatic women, as well as in those with pregnancy 222 complications [DiGiulio et al., 2010a, 2010b; Romero et al., 2015].

223 Microbiome fetal colonization

224 The classical paradigm of fetal environment as a sterile harbor has traditionally explained 225 that microbes, and thus microbiome, are acquired both vertically [from the mother] and 226 horizontally (from other humans or from the environment) during and after birth, but recent 227 data has questioned the traditional accepted dogma of human microbiome acquisition, 228 proposing that neither the fetus, the placenta or the amniotic fluid are sterile [Jimenez et al., 229 2005; Wassenaar and Panigrahi, 2014]. According to the literature, the colonization of the 230 fetus gastrointestinal tract starts during the intrauterine life. The presence of bacteria within 231 placental tissue was confirmed by cultivation-dependent techniques and, more recently, 232 "healthy" placental microbiota has been demonstrated by modern sequencing technologies 233 [Aagaard et al., 2014]. This placental niche of bacteria is not related with adverse outcomes 234 of pregnancy or symptoms of clinical infection [Jiménez et al., 2005]. Animal but not human 235 studies have hypothesized that the previously mentioned similarity between placental and 236 oral bacteria may be due to bacteria passing from the oral cavity to the placenta through an 237 unknown mechanism [Aagaard et al., 2014].

Once we know that colonization starts during fetal life, the challenge is to understand how this process develops. Microorganism may pass through the placenta and colonize the fetus ascending from the vagina, from the oral cavity, from the urinary track or from the intestinal lumen of the mother. These microorganisms may reach hematogenously the placenta and then be transmitted to the fetus [McClure and Goldenberg, 2009]. However, it is unclear where the fetal microbiota comes from, and which is the first fetus exposition. The presence of a different placental microbiota compared to the vagina raise the possibility that the infant may be first seeded *in utero* by a common shared low abundance source, such as the placenta,
and this seeding may vary by length of gestation [Aagaard et al., 2014].

247 Different studies have detected microbiome in the first baby fecal sample, the meconium, 248 suggesting in utero exposure to bacteria [Jimenez et al., 2008; Hansen et al., 2015]. 249 Staphylococcus has been reported as the most prevalent bacteria in meconium samples, followed by Enterobacteriaceae, Enterococcus, Lactobacillus and Bifidobacterium even in 250 251 infants born by caesarean. These findings suggest that colonization by certain bacteria might 252 start before birth [Hansen et al., 2015; Martin et al., 2016]. Indeed, those authors has reported 253 an increase in the chance of detecting Bifidobacterium, Bacteroides fragilis group, 254 Enterococcus, Staphylococcus, L. gasseri subgroup, and B. adolescentis when delaying the 255 collection of meconium samples by one day. This may be due to the impact of the type of 256 feeding or the environment after the birth that may be more determinant to establish the 257 intestinal microbiome during the childhood [Martin et al., 2016].

258 Microbiome in pathological and adverse pregnancy outcomes

259 There are some studies comparing the fetal or mother microbiome according to the 260 presence of adverse pregnancy outcomes such as prematurity or low birth weight. However, 261 the results remain under discussion since some microorganisms related with altered perinatal 262 outcomes have also been observed in women with correct perinatal results. Ardissone et al. 263 [2014] compared the meconium microbiome in newborn before and after 33 weeks of 264 gestation, and they concluded that *Enterococcus* and *Enterobacter* were negatively 265 correlated with gestational age, while Lactobacillus and Phortorhabdus had a greater 266 abundance in newborn with less than 33 weeks of gestation. This indicates that the 267 composition of the microbiome may be involved in the inflammatory response that leads to 268 premature birth more than the colonization alone. Specifically, preterm subjects with severe 269 chorioamnionitis had high abundances of Ureaplasma parvum, Fusobacterium nucleatum, 270 and *Streptococcus agalactiae* [Prince et al., 2016]. Among women with spontaneous preterm 271 birth, the placental microbiome varies by virtue of excess gestational weight gain, but not 272 obesity, and this placental dysbiosis affects different bacterially encoded metabolic pathways 273 that may be related with pregnancy outcomes [Antony et al., 2014]. It has been reported high 274 abundance of Burkholderia, Actinomycetales and Alphaproteobacteria in placental samples 275 from gravidae delivered preterm, and an enrichment of Streptococcus and Acinetobacter in 276 placental samples from patients with a history of antepartum urinary infection. In contrast, 277 Paenibacillus predominated in term placental specimens [Aagaard et al., 2014]. Other 278 authors have proposed that the fetal intestinal microbiota derives from swallowed amniotic 279 fluid, and that it may develop inflammatory response that leads to premature birth [Ardissone 280 et al., 2014]. Considering that some strains of Lactobacillus may possess potential

281 anti-inflammatory activities, and could regulate blood glucose levels in diabetic human 282 [Ejtahed et al., 2012], the low abundance of Lactobacillus in placentas of low birth weight 283 neonates reported by Zheng et al. [2015] might be related with a pro-inflammatory status in 284 pregnancy. Thus, the higher sensitivity of fetal intestinal tissue to inflammatory stimuli may 285 induce the labor due to an immune reaction. In addition, a correlation between periodontitis 286 and the risk of spontaneous abortion or miscarriage [Chanomethaporn et al, 2019]. On the 287 other hand, Liu et al [2017] analyzed gut microbiome in pregnants affected by preeclampsia. 288 They showed an overall increase in pathogenic bacteria such as *Clostridium perfringens* and 289 Bulleidia moorei and a reduction in probiotic bacteria Coprococcuscatus. Finally, a number 290 of bacteria, viruses, and protozoa infections have been associated with pregnancy 291 complications. Therefore, identification of these placental infections might help the 292 prediction of spontaneous abortion, stillbirth, premature delivery, growth retardation, and the 293 sick newborn [Heerema-McKenney, 2018]. However, more research is needed to confirm 294 these observations that can results in a future opportunity for new fetal therapeutic targets in 295 adverse obstetrical conditions.

296 Changes in the microbiome related to the type of delivery

297 There is great controversy in the scientific community about the relationship of the 298 meconium and infant gut microbiota profile and the type of delivery. Microbiome studies on 299 early infancy have demonstrated a significant influence of the mode of delivery on the 300 microbiome composition, suggesting the likely association of the infant gut bacteria with 301 maternal vaginal or skin microbiome habitats. It is important to clarify the influence of 302 factors commonly accompanying caesarean delivery on the microbiome, due to the potential 303 influence on some non-communicable diseases, such as neonatal skin infection, asthma, 304 obesity, inflammatory bowel disease, or type I diabetes mellitus allergies, 305 [Montoya-Williams, et al. 2018; Stinson, Payne et al. 2018].

306 Vaginally delivered newborn have shown bacterial communities resembling their own 307 mother's vaginal microbiota, dominated by Lactobacillus, Prevotella, or Sneathia spp. On 308 the other hand, caesarean-born infants harbored bacterial communities similar to those found 309 on the skin surface nicho, dominated by Staphylococcus, Corynebacterium, and 310 Propionibacterium spp. [Dominguez-Bello, Costello et al. 2010] or potentially pathogenic 311 communities such microbial as Klebsiella, Enterococcus, and Clostridium 312 [Montoya-Williams, Lemas et al. 2018]. Other authors have reported that Bifidobacterium 313 [Biasucci, Rubini et al. 2010; Rutayisire, Huang et al. 2016], and Bacteroides [Rutayisire, 314 Huang et al. 2016] seems to be significantly more frequent in vaginally compared with 315 caesarean delivered infants, which were mainly colonized by *Clostridium* and *Lactobacillus* 316 [Rutayisire, Huang et al. 2016]. The high abundance of *Bifidobacterium* species in infants is 317 considered to promote the development and maturation of the immune system to sustain 318 health, while high presence of *Clostridium difficile* is considered as one of the major 319 intrahospital dangers causing severe gastrointestinal infections during the infancy 320 [Rutayisire, Huang et al. 2016]. Another study proposed that Propionibacterium species 321 were most abundant in the meconium of vaginally delivered chinese infants, whereas the 322 caesarean-born children had higher amounts of Bacillus licheniformis. In addition, the 323 diversity of the microbial composition was also higher in vaginal than in cesarean deliveries, 324 although no correlation with maternal microbiome was reported [Shi, Guo et al. 2018]. 325 Similarly, a metagenomic analysis concluded that Propionibacterium was enriched in the 326 meconium from the vaginal delivery group, and that it may reach from skin or fecal microbes 327 through contact during the natural labour [Backhed, Roswall et al. 2015]. Therefore, there is 328 no consensus regarding the most colonizable pattern of the first microbiota community in the 329 first 3 days of the newborn, although it seems that according to phyla, vaginally delivers are 330 more related to Actinobacteria and Bacteroidetes, while caesarean are more related to 331 Firmicutes. Indeed, it has also been suggested that the transfer of maternal vaginal microbes 332 play a minor role in seeding infant stool microbiota since the overlap of maternal vaginal 333 microbiota and infant faecal microbiota is minimal. However, the similarity between 334 maternal rectal microbiota and infant microbiota was more pronounced [Sakwinska, Foata et 335 al. 2017].

The discrepances of the results obtained could be due to different factors associated to caesarean delivery such as antibiotics administration, or to breastfeeding, maternal obesity, labor, gestational diabetes mellitus and even the methodology of work. In addition, the diversity from *Firmicutes* and *Bacteroides* colonization levels on infants gut microbiota may be influenced by geographical variation such as the latitude [Escobar, Klotz et al. 2014].

341 Some authors have proposed that the lower presence of *Bifidobacteria* and *Bacteroides*, 342 and the abundance of *Clostridia* and *Lactobacillus*, in infants delivered by caesarean could be 343 explained by the perinatal antibiotics administration [Rutayisire, Huang et al. 2016]. Mothers 344 delivering by caesarean use antibiotic prophylaxis before the beginning of surgery or, in 345 some countries, after the cord are clamped, to minimizes direct neonate exposure to antibiotic 346 [Seedat, Stinton et al. 2017]. In addition, Azad et al. determined that intrapartum antibiotics 347 in caesarean and vaginal delivery are associated with infant gut microbiota dysbiosis, 348 although breastfeeding modifies some of these effects [Azad, Konya et al. 2013]. 349 Nevertheless, Martinez et al. performed antibiotic-free caesarean in mice and determined that 350 these mice did not have the dynamic developmental gut microbiota changes observed in 351 control natural born mice, evidencing the involvement of maternal vaginal bacteria in a 352 proper metabolic development even in absence of antibiotics [Martinez, Devlin et al. 2017]. 353 It is worth to take into account that perinatal antibiotic administration may be associated with 354 increased risk of developing morbidities as asthma, allergies and obesity, which may be 355 influenced by dysbiosis. Epidemiological data show that atopic diseases appear more often in 356 caesarean infants than after vaginal delivery [Laubereau] et al., 2004; Negele et al., 2004]. 357 Therefore, the composition of enteric microbiota in early days of life seems to be a very 358 important factor for achieving and maintaining good health in the years to come [Neu et al., 359 2011].

360 In addition, the mode of delivery had a large impact on the microbiota composition of 361 colostrums and milk [Cabrera-Rubio, Mira-Pascual et al. 2016; Toscano, De Grandi et al. 362 2017], what also may be influenced by antibiotics administrated during caesarean. It has been 363 proposed that caesarean-born infants lacked the early provision of breast milk stimulated for 364 a proper gut microbiota, that contains microbes such as Lactobacilli and Bifidobacteria, what 365 can be a direct source for the higher colonization rates of these genera in vaginally than in 366 caesarean-delivered infants [Neu and Rushing 2011]. In fact, Sakwinska et al. [2017] 367 reported that only vaginally delivered and fully breastfed infants had gut microbiota 368 dominated by Bifidobacteria. Importantly, caesarean may decrease colonization of 369 milk-digested bacteria including the genus Lactobacillus in newborn during the first months 370 of life [Dominguez-Bello 2010].

371 On the other hand, bacterial richness and diversity were lower in infant gut after elective 372 caesarean born infants and higher in emergency caesarean, suggesting that colonization may 373 be affected differently in both situations. It is important to highlight that emergency cesarean 374 and vaginal delivery labor are frequently accompanied by rupture of fetal membranes, 375 exposing the fetus to maternal vaginal bacteria [Azad, Konya et al. 2013], what could be 376 related to the diversity of vaginal microbiota that increases by the time of labor onset 377 [Avershina, Slangsvold et al. 2017]. In fact, infants born by elective caesarean had 378 particularly low bacterial richness and diversity at 4 months of age [Azad, Konya et al. 2013]. 379 Additionally, due to absence of labor, a different inflammatory levels and immune-mediating 380 cytokines in caesarean-born infants may be related to some of the observed differences in 381 neonatal microbiome colonization and health outcomes [Stinson, Payne et al. 2018]. The 382 healthy gut microbiota is considered to promote development and maturation of the immune 383 system, while abnormal gut is considered as the major cause of severe gastrointestinal 384 infections during the infancy. A systematic review has concluded that the diversity and 385 colonization pattern of the gut microbiota were significantly associated to the mode of 386 delivery during the first three months of life, which is a critical period of life for 387 immunological programming [Rutayisire, et al 2016]. However, the observed differences

disappears after 6 months of infants life, when solid foods are included in the diet [Stinson,Payne et al. 2018].

390 Finally, there are different potential preventive intervention strategies to restore the gut 391 microbiota after caesarean [Moya-Perez, Luczynski et al. 2017]. The intervention could be 392 focused on maternal administration of probiotics and prebiotics during gestation. There is a 393 great interest about "seeding approaches" as "vaginal seeding" to reverse the effects of 394 caesarean delivery mode on the microbiome in early life, but at the same time there are 395 critical voices that are concern about safety and efficacy of this practice [Moya-Perez, 396 Luczynski et al. 2017; Stinson et al. 2018]. On the other hand, the intervention could 397 concentrate on the neonate using "seeding" methods such as encouraging breastfeeding 398 instead of formula feeding, or the use of infant enriched formulas. In these sense, 399 supplementation with symbiotic, the combination of synergistic pre- and probiotics, might 400 offer an innovative strategy to reestablish the delayed colonization of *Bifidobacterium* spp. in 401 caesarean-delivered children [Francavilla et al., 2018-[70].

402 Microbiome and Obese Pregnancy

403 Epidemiological evidence shows that 50% of women of childbearing age and 20-25% of 404 pregnant women in Europe can be affected by overweight or obesity [Stevens et al., 2012]. 405 Consequently, maternal obesity is becoming a serious public health concern in developed 406 countries, since it increases the risk of cardiometabolic diseases in mothers [Zhu and Zhang, 407 2016] and the susceptibility to metabolic diseases in offspring [73-Eriksson e al., 2015; 408 74-Hussen et al., 2015; 75-Gaillard et al. 2016; 76-Toemen et al., 2016]. Maternal obesity is 409 associated to environmental factors, such as the diet and the reduction in physical activity 410 [Murray et al., 2013]. However, further evidence relates obesity with a missing factor which 411 has been identified as the alterations in gut microbiome due to its crucial role in energy 412 balance and metabolism [Okeke et al., 2014; Sanmiguel et al., 2015]. It seems that maternal 413 microbiota composition during pregnancy is affected by nutrition [Flint et al., 2012; 414 Carcia-Mantrana et al., 2018], pre-pregnancy weight and weight gain over the course of 415 pregnancy [Collado et al., 2008; Collado et al., 2010; Santacruz et al., 2010; Gomez-Arango 416 et al., 2016].

417 Pregnancy-associated changes are different in overweight or obese women compared with 418 normal-weight pregnant women. Overweight pregnant women reduce the number of 419 *Bifidobacterium* and *Bacteroides*, whereas increase the number of *Staphylococcus*, 420 *Enterobacteriaceae* and *E.coli* [Santacruz et al., 2010]. Additionally, higher levels of 421 *Staphylococcus* and *Akkermansia muciniphila* and lower levels of *Bifidobacterium* were 422 detected in women with excessive weight gain during pregnancy as compared with normal-weight ones [Collado et al., 2012]. Consequently, this altered maternal microbiome
will shape the composition of offspring [Ma et al., 2014; Mueller et al., 2016] and thus
influence his or her future health.

426 Specifically, neonates born vaginally from overweight or obese mothers show increased 427 numbers of Bacteroides and depleted in Enterococcus, Acinetobacter, Pseudomonas, and 428 Hydrogenophilus [Mueller et al., 2016]. On the other hand, when specifically examining 429 phyla level relative taxonomic abundance among preterm women by virtue of maternal 430 weight gain, other authors have reported an appreciable and significant increased abundance 431 of Firmicutes, Actinobacteria, and Cyanobacteria, and decreased relative abundance of 432 Proteobacteria [Antony et al., 2015]. Furthermore, this altered maternal microbiota 433 composition may be transferred from mother to fetus during the prenatal period [Gohir et al., 434 2015] and through lactation [Garcia-Mantrana and Collado, 2016]. Several routes may be 435 involved in the transfer of maternal microbiota to offspring, but it is not clear which one plays 436 the most important role for the transfer of maternal obesogenic microbiota to offspring.

437 In addition, gut microbiota can induce obesity in children by several mechanisms. For 438 example, lower amounts of Bifidobacteria can affect weight gain in infants through mucosal 439 host-microbe crosstalk, and immune and inflammatory dysregulation. Moreover, higher 440 concentrations of *Bacteroides*, *Clostridium*, and *Staphylococcus* can stimulate greater energy 441 extraction from food, combined with a reduced control of inflammation; this dysbiosis 442 occurs during the first six months of life in infants of overweight mothers [Collado et al., 443 2010]. These first months of life are of great importance since rapid weight gain during this 444 period is associated with an increased risk of obesity during childhood, even more than the 445 birth weight [Taveras et al., 2009].

446 Microbiome and diet

447 Maternal diet establishes long-lasting effects on offspring gut microbial composition what 448 may have important clinical implications [Clarke et al., 2014]. It has been described that 449 dietary composition during pregnancy may also affect infant microbiota [Penders et al., 450 2006]. Therefore, regular exercise must be recommended as an effective way of weight 451 control during pregnancy [Muktabhant et al., 2015], what could enhance the gut microbiota 452 composition [Clarke et al., 2014]. In addition, complex interactions of cytokines and 453 microbiota in breast milk guide the microbiological, immunological, and metabolic 454 programming of infant health, what may explain the heightened risk of obesity for infants of 455 overweight and excessive weight gain mothers [Collado et al., 2012]. Given that early infant 456 weight gain can have lifelong consequences for metabolic health, further studies will provide 457 evidences of the impact of maternal obesity on infant microbiota. On the other hand, data

458 supporting the notion of bacterial translocation from the maternal gut to extraintestinal sites

- 459 during pregnancy are emerging, and potentially explain the presence of bacteria in breast
- 460 milk [Nyangahu and Jaspan, 2019].

461 Gut microbiome and prematurity: the influence of the type of feeding

462 Breast milk has been recognized as the gold standard for human nutrition [American 463 Academy of Pediatrics, 2012]. In preterm infants, breast milk has been associated with 464 improved growth and cognitive development [Belford et al., 2016] and a reduced risk of 465 necrotizing enterocolitis and late sepsis onset [Menzen-Derr et al., 2009; Collado et al., 2012; 466 Ballard et al., 2013]. Occasionally, the absence of mother's own milk (MOM) requires the 467 use of donor human milk (DHM). It has been observed that the type of feeding has an 468 important impact on gut microbial composition in preterm infants. A prospective cohort 469 study has been launched to determine the impact of DHM upon preterm gut microbiota 470 admitted in a referral neonatal intensive care unit. Despite the high variability, no differences 471 in microbial diversity and richness were found, although feeding type significantly 472 influenced the preterm microbiota composition and predictive functional profiles. Inferred 473 metagenomic analyses showed higher presence of *Bifidobacterium* in the MOM, a genus 474 related to enrichment in the glycan biosynthesis and metabolism pathway, as well as an 475 unclassified Enterobacteriaceae and lower unclassified Clostridiaceae compared with the 476 DHM or in the formula fed groups. After adjusting for gender, postnatal age, weight and 477 gestational age, the diversity of gut microbiota increased over time and was constantly higher 478 in infants fed MOM relative to infants with other types of feeding. Finally, DHM favors an 479 intestinal microbiome more similar to MOM despite the differences between MOM and 480 DHM [Parra-Llorca et al., 2018]. Using DHM could have potential long-term benefits on 481 intestinal functionality, immune system and metabolism [Bertino et al., 2009; Christen et al., 482 2013; Madore et al., 2017]. However, available pasteurization methods cause changes that 483 may blunt many of the positives aspects derived from the use of MOM [Untala et al., 2009; 484 Sousa et al. 2014; Peila et al., 2016]. Further studies are needed to understand the complex 485 links between microbiome and DHM feeding, its impact on health programming, and to 486 develop sensitive methods capable of providing human milk as similar as possible to their 487 MOM, when the latter is not available.

488 Microbiome and sepsis in the newborn

In spite of variation in net incidence, neonatal sepsis remains one of the leading causes of preventable neonatal morbidity and mortality throughout the world. The main agents responsible for sepsis are group B Streptococcus (GBS), E. coli and coagulase-negative Staphylococci (CONS). However, this scenario is susceptible to future changes depending on the use of antibiotics and/or the implementation of non-culture diagnostic techniques [Özenciand Schubert, 2018].

495 In recent years, there has been a growing interest on the role of commensal bacteria in the 496 individual's susceptibility to infection. A few studies have evaluated the maternal vaginal 497 microbiota in relation to GBS carrier status. Although it seems that some specific taxa might 498 be associated with the presence of GBS [Rosen et al., 2017], there is no apparent parallel 499 reduction of the predominant commensal bacteria *Lactobacilli* [Kolter and Henneke, 2017]. 500 Indirect evidence suggests that the neonatal gut microbiome might be of relevance in GBS 501 infection, since different colonizing species have been found in stool of infants from GBS 502 positive and negative mothers, while on the other hand, the protective effect of pre and 503 probiotics has also been suggested [Kolter and Henneke, 2017].

504 It seems that gastrointestinal microbiota might induce an increase in permeability, 505 modulating gut and systemic immune response and decreasing the tight junction integrity 506 [Berrington et al, 2013]. As a consequence, intestinal bacteria can promote the systemic 507 inflammatory response syndrome, facilitate bacterial translocation, and cause late-onset 508 sepsis and necrotizing enterocolitis, especially affecting the premature population. Most, but 509 not all, of the evidence suggests that premature newborns with low microbiome gut diversity 510 or with predominance of Staphylococcus, Firmicutes and Proteobacteria are associated with 511 increased risk for late-onset sepsis compared with those premature infants at lower risk 512 [Madan et al., 2012]. Furthermore, gut colonization with *Bifidobacterium* and increased 513 presence of prebiotic oligosaccharides in feces, has been related with less disruption of the 514 mucosal barrier and gut epithelial translocation, providing an improved gut development and 515 protection [Stewart et al, 2017]. It remains unclear if invasion of the bloodstream during 516 sepsis is caused by the same microorganisms identified in stool [Carl et al., 2014] or by 517 others [Madan et al., 2012], in which case the gut microbiota would act as a facilitating 518 mechanism by interfering with the gut barrier or intestinal immune function. Further and 519 bigger studies are needed to tease out if the differences observed in gut colonization in 520 intensive care units patients do predispose to sepsis or if they respond to other factors such as 521 the diet [Mai et al., 2013], site differences in initiating and advancing feeds, breastfeeding, 522 the use of antibiotics [Taft et al., 2015], or interpatient transmission within the neonatal 523 intensive care units [Carl et al., 2014].

524 Microbiome and allergic conditions

Allergy disorders represent an important burden from worldwide diseases, with an increasing prevalence in infants and children, mainly as food allergies, atopic eczema [Dunlop et al., 2018], and respiratory pathology as rhinitis [Bousquet et al., 2008] or asthma 528 [Bousquet et al., 2007]. Their cause is multifactorial and contemplates interactions between 529 genetic, environmental and socioeconomic factors leading to different symptoms or 530 phenotypes [Johansson et al., 2004]. Among this heterogeneity, a restricted microbial 531 exposure at early life seems to plays also an important role influencing allergic diseases, and

532 consequently asthma onset [Abrahamsson et al., 2013].

533 Gut microbiome and atopy

534 Eczema or atopic dermatitis (AD) is the first typical allergic manifestation in newborns 535 [Wopereis et al., 2017]. A recent study has reported high proportion of Faecalibacterium 536 prausnitzii among gut microbiome in AD subjects, which are known to be deficient in 537 Crohn's disease subjects, while anti-inflammatory faecal bacteria metabolites decreased in 538 those subjects [Song et al., 2015]. Besides, literature has shown that infants with AD 539 improved their condition in parallel with an increased abundance of faecal Coprococcus 540 eutactus, a butyrate-producing bacteria [Nylund et al., 2015]. It has been proposed that 541 dysbiotic gut microbiota and subsequent dysregulation of the gut inflammation may promote 542 an aberrant Th2-type immune response to allergens altering the epithelial barrier in AD skin 543 [Muir et al., 2016].

544 Gut microbiome and food allergy

545 Available literature on animal models suggests that gut microbiome may have an 546 important role in the susceptibility to food sensitization and food allergy, mainly at early 547 stages of life [Stefka et al., 2014]. Among the causes, the increasing use of antibiotics both in 548 humans and in agriculture, and the lower intake of dietary fibre have had an impact on the gut 549 microbiota [BerniCanani et al., 2015]. Chen et al. [2015] have recently shown that children 550 with food sensitization in early life have both lower microbiota diversity and altered gut 551 microbiota composition (an increased number of Firmicutes in detriment of Bacteroidetes) 552 compared to children not having these condition.

553 Gut microbiome and asthma

Allergies are the strongest risk factors for childhood asthma development in western countries [Simpson et al., 2010], but the relationships between asthma and the microbiota is not clear. Although it has been indicated that the diversity of the gut microbiota in infancy is even more determinant for asthma development than the prevalence of specific bacterial taxa, those authors suggested that there might be specific bacterial species important for prevention of asthma, and that gut microbial diversity during the first month of life may be more associated with asthma development at school age than other allergic manifestations 561 [Abrahamsson et al., 2013]. The main cause of this lack of awareness may be that the 562 available clinical follow-ups studies had been performed in infancy [Masoli et al., 2004], 563 whereas allergic asthma is still uncommon [Abrahamsson et al., 2013]. Indeed, another study 564 has indicated that the neonatal gut microbiota influences susceptibility to childhood allergic 565 asthma, via alterations in the gut microenvironment that modulates CD4+ T-cell populations 566 and functions. These authors have observed a characteristic depletion of dihomo- γ -linoleate, 567 a precursor of anti-inflammatory ω -3 polyunsaturated fatty acid and prostaglandins, that may 568 be related [Fujimura et al., 2016].

569 As described previously, different factors has been associated with infant microbiome and 570 with risk of asthma, such as the furry pets exposure [Tun et al., 2017], gestational age, the 571 mode of delivery (vaginal vs. caesarean), and antibiotic treatment (direct vs. indirect via 572 mother), among others [Marques et al., 2010; Chong et al., 2018], but there is no doubt that a 573 key issue is the type of feeding. A systematic review addressing the effect of breastfeeding in 574 the development of asthma has found that children who were breastfed for longer time had a 575 lower risk of developing asthma, mainly between the first two years of life [Dogaru et al., 576 2014], what may be mediated by the shape of the early gut microbiota [Groer et al., 2014]. 577 However, longitudinal and multicenter studies are still needed to better understand if the 578 dysbiotic microbiota is a cause or consequence of the atopic and allergic diseases [Muir et al., 579 2016]. Besides, interventional studies suggest that pre- and probiotics could prevent or 580 down-regulate the severity of some diseases, such as asthma or allergies, but the biological mechanisms, as well as the best taxa or type of intervention, require further research 581 582 [Mennini et al., 2017].

583 Conclusion

584 There are many data confirming the involvement of the microbiota in the development of 585 pregnancy and the newborn, and how it influences the correct establishment of labor and all 586 perinatal processes. However, despite the large number of studies, we are still not able to 587 obtain adequate conclusions, due to the large number of factors that may influence these 588 processes, such as the age, race, the type of feeding, the mother diet, the intake of antibiotics, 589 as well as the presence of other pathologies. Recent developments in genome sequencing 590 technologies, bioinformatics and culturomics will enable researchers to explore the 591 microbiota and, in particular, their functions at more detailed level than before. Therefore, 592 new studies are needed to confirm the evolution of microbiota during different conditions 593 and its influence on healthy or complicated perinatal evolution.

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- 600 All authors have contributed to the writing of the work. M.D.M. had primary responsibility
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602 **Conflicts of Interest**

603 The authors declare no conflict of interest.

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